

2817

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. A-412	
In Re Application Of: Akira OKAMOTO et al				
Serial 09/980,960	Filing Date October 29, 2001	Examiner A. M. Kinhead	Group Art Unit 2817	
Title: <div style="text-align: center; margin-top: 10px;">LC OSCILLATOR</div>				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<div style="margin-left: 40px;"> <input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>				
OR				
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>				

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
A-412

In Re Application Of: Akira OKAMOTO et al

Serial No.
09/980,960

Filing Date
October 29, 2001

Examiner
A. M. Kinhead

Group Art Unit
2817

Invention:

LC OSCILLATOR

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

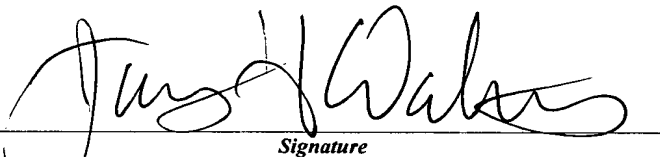
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

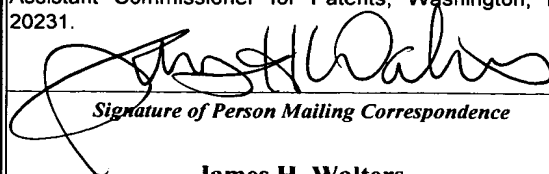
Dated:

Feb 26, 2003

James H. Walters, Reg. No. 35,731
802
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Feb 26, 2003 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

James H. Walters

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Akira OKAMOTO et al

Art Unit: 2817

S. N. 09/980,960

Examiner: A. M. Kinhead

International S.N.: PCT/JP99/02567

Filed: October 29, 2001

International Filing Date: 18 May 1999

For: LC OSCILLATOR

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

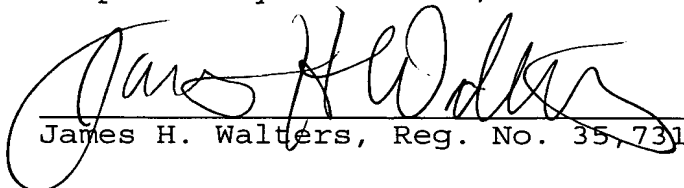
Applicants wish to draw attention to the documents listed on the accompanying Form PTO-1449, copies of which documents are enclosed.

A certification under 37 CFR 1.97(e) is attached. The documents listed in Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, namely a European Patent Office communication dated November 26, 2002.

Regarding the non-English document (JP 60-136156), the relevance of this document, according to the European Patent Office is that it discloses a mode of connection of two conductors as indicated in FIGS. 4 and 5 of the document.

Pursuant to MPEP 609, it is requested that the Examiner should initial Form PTO-1449 to confirm that each document has been considered, and return a copy of the form to the undersigned.

Respectfully submitted,


James H. Walters, Reg. No. 35,731

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I hereby certify that this correspondence is being deposited as first class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on this 26th day of February, 2003.

